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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 29, 2006

PTAS

DOW CORNING CORPORATION  
ATTN: ALAN ZOMBECK  
MAIL NO. C01232; P.O. BOX 994  
2200 W. SALZBURG ROAD  
MIDLAND, MI 48686-0994

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JUL 06 2006



\*103234250A\*

PATENT DEPT  
DOW CORNING

UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 10/06/2005

REEL/FRAME: 017851/0149  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: DC5109PCT1

ASSIGNOR:

JOHNSON, BETHANY K.

DOC DATE: 03/24/2004

ASSIGNOR:

LAFORE, MICHAEL RICHARD

DOC DATE: 03/24/2004

ASSIGNOR:

LILES, DONALD TAYLOR

DOC DATE: 03/24/2004

ASSIGNOR:

LIN, ZUCHEN

DOC DATE: 03/24/2004

ASSIGNEE:

DOW CORNING CORPORATION  
2200 W. SALZBURG ROAD  
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MIDLAND, MICHIGAN 48686-0994

017851/0149 PAGE 2

SERIAL NUMBER: 10552204

FILING DATE: 10/06/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: METHOD OF PREPARING EMULSIONS CONTAINING ELASTOMERIC SILANES AND  
SILOXANES HAVING QUATERNARY AMMONIUM GROUPS

MARCUS KIRK, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

10/6/5

AD5811

10/552204  
JC09 Re PCT/PTO 06 OCT 2009  
Docket No.: DC5109PCT1

05-09-2006

FORM PTO-1595 (Modified)  
(Rev. 03-01)  
OMB No. 0651-0027 (exp. 5/31/2002)  
P00/REV03

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



103234250

Tab settings

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Bethany K. Johnson      Zuchen Lin  
Michael Richard LaFore  
Donald Taylor Liles  
Additional names(s) of conveying party(ies) ☐ Yes ☒ No

2. Name and address of receiving party(ies):  
Name: Dow Corning Corporation  
Internal Address: Mail Number C01232; P.O. Box 994  
  
Street Address: 2200 W. Salzburg Road  
  
City: Midland      State: MI      ZIP: 48686-0994  
Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:  
☒ Assignment      ☐ Merger  
☐ Security Agreement      ☐ Change of Name  
☐ Other \_\_\_\_\_  
Execution Date: March 24, 2004

4. Application number(s) or patent numbers(s):  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_  
A. Patent Application No.(s)  
PCT/US2004/009153      Filed: 03/24/2004  
  
10/ 4/2005 GFREY1      00000079      041520      10552204  
04 01:0021      ( 40.00 BA )

B. Patent No.(s)  
  
Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Dow Corning Corporation; Attn: Alan Zombeck  
Internal Address: Mail No. C01232; P.O. Box 994  
  
Street Address: 2200 W. Salzburg Road  
  
City: Midland      State: MI      ZIP: 48686-0994

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR 3.41): \$ 40.00  
☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☒ Authorized to be charged to deposit account  
8. Deposit account number:  
04-1520  
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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
Alan Zombeck      Alan Zombeck      9-30-05  
Name of Person Signing      Signature      Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:  
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Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration.

**ASSIGNORS (inventors):**

Bethany K. Johnson of Midland, Michigan US, a Citizen of USA; Michael Richard LaFore of Freeland, Michigan US, a citizen of USA; Donald Taylor Liles of Midland, Michigan US, a Citizen of USA; and Zuchen Lin of Midland, Michigan US, a Citizen of USA;

Hereby sells, assigned and transfers to

ASSIGNEE: Dow Corning Corporation  
2200 West Salzburg Road  
P.O. Box 994  
Midland, Michigan 48686-0994 US

and the successors and assigns of the ASSIGNEE, the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority in and to any and all improvements which are disclosed in the invention entitled

Method of Making Emulsions Containing Elastomeric Silanes and Siloxanes with Nitrogen Atoms

and which is found in PCT Application Serial No. PCT/US04/09153 filed on March 25, 2004 which claims priority to U.S. Patent Application Serial No. 60/471,059 filed on 16 May 2003 and any legal equivalent thereof in a foreign country, including the right to claim priority, and, in and to, all Letters Patent to be obtained for said invention or arising from or claiming priority to any of the above identified patent applications, or any national filing, continuation, division, renewal, or substitute thereof arising from or claiming priority to any of the above identified patent applications, and as to letters patent any re-issue or re-examination thereof.

To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney or agent, as designated in any Power of Attorney I have executed with respect to this invention, to insert the filing dates and serial numbers of the above identified patent applications when they become known in the space above if not already provided.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and ASSIGNOR will testify as to the same in any interference, litigation or proceeding related thereto.

ASSIGNOR further covenants to promptly execute and deliver to ASSIGNEE or its successors or assigns any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof and to execute any divisional or continuing application or applications which may be necessary or proper to obtain full protection on the invention hereby assigned; and to execute any and all supplemental oaths and preliminary statements, should the same be necessary or desirable in the prosecution of the aforesaid applications and to carry out the purposes thereof.

IN WITNESS WHEREOF I have hereunto set my hand and affixed my seal this 24<sup>th</sup> day of March, 2004.

Bethany K. Johnson  
Bethany K. Johnson

This is to certify that Bethany K. Johnson has signed this document in my presence this

24<sup>th</sup> day of March, 2004.

Kelly G Smith  
Signature of Witness

IN WITNESS WHEREOF I have hereunto set my hand and affixed my seal this 24 day of March, 2004.


Michael Richard LaFore  
Michael Richard LaFore

This is to certify that Michael Richard LaFore has signed this document in my presence this

24<sup>th</sup> day of March, 2004.

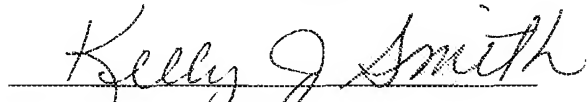
Kelly G Smith  
Signature of Witness

IN WITNESS WHEREOF I have hereunto set my hand and affixed my seal this  
24 day of March, 2004.

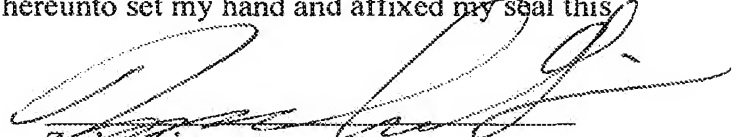
  
Donald Taylor Liles

This is to certify that Donald Taylor Liles has signed this document in my presence this

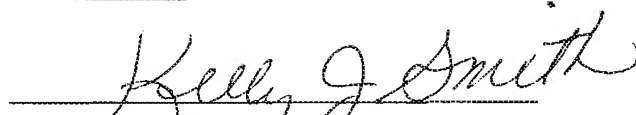
24 day of March, 2004.

  
Signature of Witness

IN WITNESS WHEREOF I have hereunto set my hand and affixed my seal this  
24<sup>th</sup> day of March, 2004.

  
Zuchen Lin

This is to certify that Zuchen Lin has signed this document in my presence this 24 day  
of March, 2004.

  
Signature of Witness